

UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No.

77777.008529

First Inventor

Elizabeth R. DYOR.

Express Mail Label No.

APPLICATION ELEMENTS

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ Fee Transmittal Form
2. ☒ Specification
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R&D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. ☒ Drawings
4. ☒ Oath or Declaration
 - a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from prior appl. (37 C.F.R. § 1.63(d))
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

5. ☐ Microfiche Computer Program (*Appendix*)
6. Nucleotide/Amino Acid Sequence (if applicable)
 - a. ☐ Computer Readable Copy
 - b. ☐ Paper Copy (identical to computer copy)
 - c. ☐ Statement verifying identity of said copies

ACCOMPANYING APPLICATION PARTS

7. ☐ Assignment Papers (cover sheet/document(s))
8. ☐ 37 C.F.R. § 3.73(b) Statement ☒ Power of Attorney
(when there is an assignee)
9. ☐ English Translation
10. ☐ IDS & Form 1449 ☐ Copies of Information Disclosure Statement Citations
11. ☒ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)
13. ☒ Small Entity ☐ Statement filed in prior application--Status still proper and desired
14. ☐ Certified Copy of Priority Document(s)
15. ☐ Other: Certificate of Mailing by Express Mail

***NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).**

16. If a CONTINUING APPLICATION,

☐ Continuation ☐ Divisional ☐ CIP ☐ with _____: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

☐ Customer Number of Bar Code Label or ☒ Correspondence address below

Name **Matthew G. DYOR, Esq.**

Address **1910 T. St. NW #33**

City **Washington**

State

DC

Zip

20009

Country

US

Telephone

(703) 408 6937

Fax

(703) 408 6937

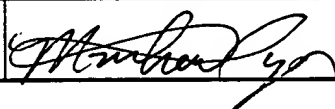
Name (Print/Type)

Matthew G. Dyor

Registration No.

45,278

(Signature)



Date

September 16, 2000

09/18/00

3535 U.S. PTO

3535 U.S. PTO

09/16/00

09/18/00

FEE TRANSMITTAL for FY 2000

Complete if Known

Application Number
Filing Date **September 18, 2000**
First Named Inventor **Elizabeth R. DYOR**
Examiner Name
Group / Art Unit
Attorney Docket No. **77777.008529**

TOTAL AMOUNT OF PAYMENT (\$) **345.00**

METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit
Account
Number

Deposit
Account
Name

☒ Charge Any Additional Fee Required Under 37 CFR
§ § 1.16 and 1.17

2. ☒ Payment Enclosed:

☒ Check ☐ Money
Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

| Entity Fee (\$) | Entity Fee (\$) | Fee Description | Fee Paid |
|-----------------|-----------------|------------------------|---------------|
| 690 | 345 | Utility Filing Fee | 345.00 |
| 310 | 155 | Design filing fee | |
| 480 | 240 | Plant filing fee | |
| 690 | 345 | Reissue filing fee | |
| 150 | 75 | Provisional filing fee | |

SUBTOTAL (1) **(\$345.00)**

2. EXTRA CLAIM FEES

| Total Claims | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| 14 | -20**= 0 | X | = 0 |
| 3 | -3***= 0 | | = 0 |
| Multiple Dependent | | | = 0 |

**or number previously paid, if greater; For Reissues, see below

| Large Entity Fee Code | Small Entity Fee Code | Entity Fee (\$) | Fee Description |
|-----------------------|-----------------------|-----------------|---|
| 103 | 203 | 9 | Claims in excess of 20 |
| 102 | 202 | 39 | Independent claims in excess of 3 |
| 104 | 204 | 130 | Multiple dependent claim, if not paid |
| 109 | 209 | 39 | **Reissue independent claims over original patent |
| 110 | 210 | 9 | **Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) **(\$0.00)**

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Entity Fee (\$) | Entity Fee (\$) | Fee Description | Fee Paid |
|---------------------|-----------------|--|----------|
| 130 | 65 | Surcharge - late filing fee or oath | |
| 50 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| 130 | 130 | Non-English specification | |
| 2,520 | 2,520 | For filing a request for reexamination | |
| 920* | 920* | Requesting publication of SIR prior to Examiner action | |
| 1,840* | 1,840* | Requesting publication of SIR after Examiner action | |
| 110 | 55 | Extension for reply within first month | |
| 380 | 190 | Extension for reply within second month | |
| 870 | 435 | Extension for reply within third month | |
| 1,360 | 680 | Extension for reply within fourth month | |
| 1,850 | 925 | Extension for reply within fifth month | |
| 300 | 150 | Notice of Appeal | |
| 300 | 150 | Filing a brief in support of an appeal | |
| 260 | 130 | Request for oral hearing | |
| 1,510 | 1,510 | Petition to institute a public use proceeding | |
| 110 | 55 | Petition to revive - unavoidable | |
| 1,210 | 605 | Petition to revive - unintentional | |
| 1,210 | 605 | Utility issue fee (or reissue) | |
| 430 | 215 | Design issue fee | |
| 580 | 290 | Plant issue fee | |
| 130 | 130 | Petitions to the Commissioner | |
| 50 | 50 | Petitions related to provisional applications | |
| 240 | 240 | Submission of Information Disclosure Stmt | |
| 40 | 40 | Recording each patent assignment per property (x number of properties) | |
| 760 | 380 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 760 | 380 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| Other fee (specify) | | | |
| Other fee (specify) | | | |

*Reduced by Basic Filing Fee Paid SUBTOTAL (3) **(\$0.00)**

SUBMITTED BY

Name (Print/Type) **Matthew G. Dyor**

Registration No. **45,278**
(Attorney/Agent)

Complete (if applicable)

Telephone **(703) 408 6937**

Signature

Matthew G. Dyor

Date

Sept. 18, 2000

**STATEMENT CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) & 1.27(b))--INDEPENDENT INVENTOR**

Docket Number (Optional)

Applicant, Patentee, or Identifier: _____

Application or Patent No.: _____

Filed or Issued: September 18, 2000

Title: Financial Management System

As a below named inventor, I hereby state that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees to the Patent and Trademark Office described in:

- ☒ the specification filed herewith with title as listed above.
☐ the application identified above.
☐ the patent identified above.

I have not assigned, granted, conveyed, or licensed, and am under no obligation under contract or law to assign, grant, convey, or license, any rights in the invention to any person who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern, or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- ☒ No such person, concern, or organization exists.
☐ Each such person, concern, or organization is listed below.

Separate statements are required from each named person, concern, or organization having rights to the invention stating their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

Elizabeth R. Dyor

NAME OF INVENTOR

NAME OF INVENTOR

NAME OF INVENTOR


Signature of inventor

Signature of inventor

Signature of inventor

September 18, 2000

Date

Date

Date